

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Alito, Samuel A.	2. Court or Organization United States Supreme Court	3. Date of Report 05/23/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Decree <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final	6. Reporting Period 01/01/2018 to 12/31/2018
5b. <input type="checkbox"/> Amended Report		
7. Chambers or Office Address United States Supreme Court 1 First Street, NE Washington, DC 20544		
<p>IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information.</p>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Visiting Faculty	Duke University Law School
2. Honorary Advisory Board Member	Bolch Judicial Institute, Duke Law School
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

Alito, Samuel A.

Date of Report

05/23/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 1/2/2018	Duke University Law School - Teaching	\$15,000.00
2. 6/1/2018	Duke University Law School - Teaching	\$5,250.00
3.		
4.		

B. Spouse's Non-Investment Income - *if you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Duke Law School	1/2 to 1/6/2018	Durham, NC	Teaching	Transportation, Lodging, Meals
2. Supreme Court Historical Society	3/14/2018 to 3/15/2019	New York, NY	Gala	Transportation, Lodging, Meals
3. Fordham Law School	4/6/2018 to 4/8/2018	New York, NY	Moot Court	Transportation, Lodging, Meals
4. Duke Law School	6/1/2018 to 6/2/2018	Durham, NC	Teaching	Transportation, Lodging, Meals
5. St. John's University Law School	12/8/2018 to 12/15/2018	Rome, Italy	Conference	Transportation, Lodging, Meals
6. Europa Institut, University of Zurich	12/15/2018 to 12/19/2018	Zurich, Switzerland	Conference	Transportation, Lodging, Meals

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting	Date of Report
Alito, Samuel A.	05/23/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

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VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Vang Inter Term Tax Ex Fund	D	Dividend	M	T					
2. Van LT Tax Ex Fund	A	Dividend	J	T					
3. Vanguard TE Mny Mkt	B	Dividend	L	T					
4. Vang Small Cap Stock Fund	C	Dividend	M	T					
5. Vang Total Stock Mkt Index F	C	Dividend	M	T					
6. Windsor II	C	Dividend	K	T					
7. Vang Star Mutual Fund	C	Dividend	L	T					
8. Vang Wellington Mut Fund	E	Dividend	N	T					
9. Roth IRA (H)									
10. -Edward Jones Investment (Cash Account)		None	J	T					
11. -AES Corp	A	Dividend	J	T					
12. -BHP Bilton PLC ADR	A	Dividend	J	T					
13. -L'occitane Luxembourg	A	Dividend	J	T					
14. -TJX Cos Inc	A	Dividend	J	T					
15. Vanguard Target Retirement Acct 2015	A	Dividend	K	T	Buy (add'l)	03/25/18	J		
16. PNC Bank Accounts		None	K	T					
17. Traditional IRA (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Page 5 of 12

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18. - Capital World Growth & Income Fund CLA	A	Dividend	J	T					
19. - Eaton Vance Mutual Funds Trust Gov't Oblig LC A	A	Dividend	J	T					
20. - Goldman Sachs Growth & Incom Strategy Fund CL A	A	Dividend	J	T					
21. - Incom Fund of America CL A	A	Dividend	J	T					
22. - Putnam Dynamic Asset- Allocation Growth Fund CL A	A	Dividend	J	T					
23. - Putnam Dynamic Asset Allocation Balanced Fund CL A	A	Dividend	J	T					
24. Investment Account #1 (H)									
25. - Edward Jones Money Market (Y)									
26. - Stafford City & Staunton VA	A	Interest	K	T					
27. - Virginia Commonwealth Transn Brd	A	Interest	J	T					
28. - VA St Res Auth Wtr & Swr Rev	A	Interest	J	T					
29. - VA St Res Auth Wtr & Swr Sys	A	Interest	J	T					
30. - Virginia Beach VA GO Pub Inmt	A	Interest	K	T					
31. - US Tips	A	Interest			Redeemed	07/16/18	J	A	
32. - 3M Co	A	Dividend	K	T					
33. - Abbott Laboratories	A	Dividend	J	T					
34. - Abbvie Inc	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 6 of 12

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35. - Becton Dickinson & Co	A	Dividend	J	T					
36. - Black Hills Corp	A	Dividend	K	T					
37. - Boeing Co	B	Dividend	L	T					
38. - Caterpillar Inc	A	Dividend	J	T					
39. - Cdk Global Inc	A	Dividend	J	T					
40. - ConocoPhillips	A	Dividend	K	T					
41. - Dowdupont Inc.	A	Dividend	K	T					
42. -Fortis Inc	A	Dividend	K	T					
43. - Jacobs Engineering Group Inc	A	Dividend	J	T					
44. - Johnson & Johnson	A	Dividend	K	T					
45. - Merck & Co Inc. New	A	Dividend			Sold	10/22/18	K	D	
46. - Molson Coors Brewing Co	A	Dividend	J	T					
47. - Mondelez International Inc	A	Dividend	J	T					
48. - OGE Energy Corp	A	Dividend	K	T	Sold (part)	01/11/18	J	B	
49. - Oracle Corp	A	Dividend	K	T	Sold (part)	01/26/18	J	C	
50. - Parker Hannifin Corp	A	Dividend	J	T					
51. - Phillips 66	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 12

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52. - PNC Bank Corp	A	Dividend	K	T					
53. - Procter & Gamble Co	A	Dividend	K	T					
54. - Sealed Air Corp	A	Dividend	J	T					
55. - TJX Cos Inc	A	Dividend	K	T					
56. - United Technologies Corp	A	Dividend	K	T					
57. - Vanguard FTSE All-Wrld Exus ETF	A	Dividend	J	T					
58. Investment Account #2 (H)									
59. - Edward Jones Money Market	A	Interest	J	T					
60. - Ishares Russ 1000 Growth ETF	A	Dividend	K	T	Sold (part)	10/25/18	J	A	
61.					Sold (part)	07/25/18	J	A	
62.					Sold (part)	06/27/18	J	A	
63.					Sold (part)	02/26/18	J	A	
64. - Ishares Russ 1000 Value ETF	A	Dividend	K	T					
65. - Ishares Russ MC Value ETF	A	Dividend	K	T	Buy (add'l)	02/06/18	J		
66. - Ishares S&P 500 Growth ETF	A	Dividend	K	T	Buy (add'l)	02/06/18	J		
67. - SPDR S&P Dividend ETF	A	Dividend	K	T					
68. - Vanguard High Div Yield ETF	B	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 12

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69. - Vanguard Mid Cap Growth ETF	A	Dividend	K	T	Buy (add'l)	02/06/18	J		
70. - American New World CI F3	A	Dividend	K	T					
71. - Dimensional DFA Int'l Value Fund	B	Dividend	K	T					
72. -Fidelity Advisor Inter Mun Incm Fd Z (formerly Fidelity Inter Muni)	B	Dividend	L	T	Buy (add'l)	02/06/18	J		
73. -JP Morgan Fed Money Market	A	Dividend	J	T					
74. -MFS International Equity Fund	A	Dividend	K	T					
75. -MFS Municipal High Income Fd CI I	A	Dividend			Sold	02/05/18	L	B	
76. -MFS Municipal High Income R6	B	Dividend	L	T	Buy (add'l)	10/03/18	J		
77.					Buy	02/06/18	L		
78. -T. Rowe Price Tax Free Short Inter	B	Dividend	L	T	Buy (add'l)	02/06/18	J		
79. -Tiaa-Cref Instl Fd Intl Eqty	C	Dividend	K	T					
80. -USAA Tax Exempt Intern Term	C	Dividend	M	T	Buy (add'l)	02/06/18	J		
81.					Sold (part)	12/26/18	J	A	
82. -Investment Account #3 (H)									
83. - Fidelity Gov't MM Inst. CI (formerlyPNC Tax Exempt Money) Market	A	Dividend	J	T					
84. - iShares 1-3 Year Treasury Bd ETF	C	Dividend	L	T	Sold (part)	05/29/18	M		
85.					Sold (part)	08/10/18	K		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 12

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86. - Ishares Floating Rate Bond ETF	B	Dividend	L	T	Buy (add'l)	05/29/18	K		
87.					Buy (add'l)	08/10/18	J		
88. -SPDR Nuveen Bloomberg Barclays ST Muni Bd ETF	B	Dividend	M	T					
89. -Metropolitan West Unconstrained	B	Dividend	L	T	Buy	05/29/18	L		
90. -Pacific Funds Floating Rate	B	Dividend	K	T	Buy	05/29/18	K		
91. -T. Rowe Price VA T/F Bond Fd	C	Dividend	M	T					
92. - Vanguard Inflation Protected Securities Fund Admiral	B	Dividend	K	T					
93. - Vanguard Funds Limited Term Tax Exempt Fund 531 Admiral	C	Dividend	M	T	Buy (add'l)	08/10/18	K		
94. - Ishares S&P 100 ETF	B	Dividend	L	T					
95. -Ishares Edge MSCI USA Value Factor ETF	A	Dividend	J	T					
96. -Ishares Core Dividend Growth ETF	A	Dividend	K	T	Buy	08/10/18	J		
97.					Buy (add'l)	12/17/18	J		
98. -Vanguard FTSE Developed Markets ETF	A	Dividend	K	T					
99. - Vanguard FTSE All World Ex-US Index Fund	A	Dividend	K	T					
100. - Vanguard FTSE Europe ETF	A	Dividend	J	T					
101. - Vanguard Mid Cap ETF	A	Dividend	J	T					
102. - Vanguard Large Cap ETF	B	Dividend	M	T					

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103. - Vanguard Growth ETF	B	Dividend	L	T	Buy (add'l)	02/09/18	J		
104. - Vanguard Value ETF	B	Dividend	L	T	Buy (add'l)	02/09/18	J		
105. - Wisdomtree Large Cap Dividend Fd ETF	A	Dividend	J	T					
106. -Blackrock Global Dividend Port Instl Class Fd	A	Dividend			Sold	08/10/18	K	B	
107. -Vanguard Int'l Valu Fd	B	Dividend	K	T					
108. Mineral Interest, Grady Cnty, OK		None	L	W					
109.									
110.									
111.									
112.									
113.									
114.									

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FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting	Date of Report
Alito, Samuel A.	05/23/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

My report was amended to clarify Part VII, specifically, the reporting of some of my holdings versus the holdings that remain in the reportable estate.

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

Alito, Samuel A.

Date of Report

05/23/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Samuel A. Alito

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544